

Excel Download Overview

Snapshot Date: October 25, 2019

The Safety Measurement System (SMS) provides two types of file downloads. One file includes information about all the BASICs and the other enables you to view just one BASIC. Both file types can be accessed from the carrier summary page and may include the following tabs.

violation summary/[name of BASIC] violations – This tab contains a list of violations included in SMS and acquired by your carrier during the past 24 months. This list displays the violation group description, frequency of each violation, the number of Out-of-Service (OOS) violations related to each violation type, and the severity weight (i.e. number of points) of each violation. Depending on the file type selected, you may see all violations used in the SMS or just the violations associated with a particular BASIC.

inspections/inspections with violations/relevant inspections – There are three possible tabs. The “Inspections” tab appears in the file that contains all BASICs. The “Inspections with Violations” tab appears in the Unsafe Driving BASIC file. The “Relevant Inspections” tab appears in the Hours-of-Service (HOS) Compliance, Driver Fitness, Controlled Substances/Alcohol, Vehicle Maintenance, and Hazardous Materials (HM) Compliance BASIC files. These tabs contain five types of information about each inspection:

1. **Inspection information** contains the details of the inspection report (e.g., date, State, and report number).
2. **Measure information** shows how the inspection will impact the carrier’s SMS by listing the inspection and violation severity weights and the time weight.
3. **Driver information** lists the driver’s name, license number, and State for up to 2 drivers.
4. **Vehicle information** lists the vehicle identification number (VIN), type of vehicle, license plate number, and State for up to 2 units.
5. **Violation information** contains the violation group description, violation code, description, Out-of-Service status, and the unit the violation is linked to.

Crashes – This tab contains five types of information about each crash:

1. **Crash information** contains the details of the crash report (e.g., date, State, crash report number, and number of fatalities).
2. **Measure information** explains how the crash will impact the carrier’s SMS by listing the crash severity weight and time weight.
3. **Driver information** lists the driver’s name, license number, and State.
4. **Vehicle information** lists the vehicle identification number (VIN), State of license plate, and plate number.
5. **Crash environment** lists roadway trafficway, road access control, road surface condition, weather condition, and light condition.

Note: Crashes listed represent a motor carrier’s involvement in reportable crashes, without any determination as to responsibility. See the SMS Information Center for more details.

Acute/Critical violations – This tab identifies the investigation type and date, and displays a list and description of each Acute/Critical Violation discovered. In the file that includes all BASICs, this tab will also link each Acute/Critical Violation with the BASIC with which it is associated. Acute/Critical Violations generally:

1. Identify noncompliance so severe that immediate corrective action is required, regardless of the motor carrier’s overall safety posture (e.g., failing to implement an alcohol and/or controlled substance testing program).

2. Relate directly to management and/or operational controls and indicate breakdowns in the carrier's management controls. This is exhibited by a pattern of similar violations; for example a falsified record of duty status reports.

investigation conducted within the past 12 months. Select this link to view the list of Acute/Critical Violations.